## JC20 Rec'd PCT/PTO 040CT

Docket No.: 043888-0403

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Tsumoru OHATA, et al. : Confirmation Number: Not yet assigned

Application No.: Not yet assigned : Group Art Unit: Not yet assigned

Filed: October 04, 2005 : Examiner: Not yet assigned

For: LITHIUM ION SECONDARY BATTERY

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents and Trademarks Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37

10/551934

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CFR 1.56. Acknowledgement and consideration of these documents are respectfully

requested.

Since the Search Report was from the JPO search authorities, copies of these

references should have been supplied to the USPTO under the trilateral agreement and are

believed to be in the file of the above identified application and readily available to the

Examiner. However, to ensure that these references are available to the Examiner, we are

providing copies of these references herewith.

Respectfully submitted,

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SHEET 1 OF 1

## INFORMATION DISCLOSURE ATTY. DOCKET NO. SERIAL NO. Not yet assigned 10/551934 043888-0403 CITATION IN AN APPLICATION APPLICANT Tsumoru OHATA, et al. GROUP FILING DATE (PTO-1449) October 04, 2005 Not yet assigned U.S. PATENT DOCUMENTS **EXAMINER'S** CITE **Publication Date** Document Number Name of Patentee or Applicant of Cited Pages, Columns, Lines, Where INITIALS MM-DD-YYYY NO Document Relevant Passages or Relevant Number-Kind Code2 (# known) Figures Appear US 5.882.721 03/16/1999 Delnick US 5,948,464 09/07/1999 Delnick 05/06/2003 US 6,558,840 B1 Hikmet Corresponds to JP 2002-541632 A 06/17/2004 US 2004/0115523 A1 Hommura et al. Corresponds to JP 2002-279956 A US 2003/0118896 A1 05/06/2003 Yamaguchi et al. Corresponds to JP 2002-319386 A FOREIGN PATENT DOCUMENTS **EXAMINER'S** Name of Patentee or Translation Foreign Patent Document **Publication Date** Pages, Columns, Lines Where Relevant Figures **INITIALS** Applicant of Cited CITE Country Codes-Number 4-Kind MM-DD-YYYY Yes No Document Codes (if known) Appear JAPAN (w/ English JP 10-055718 02/24/1998 **POLYTEC DESIGN:KK** Abstract) JP 10-106530 04/24/1998 **IMRA AMERICA** JAPAN (w/ English Abstract) INC JAPAN (w/ English JP 07-220759 08/18/1995 **SONY CORP** Abstract) JP 2002-541632 A 03/12/2002 KONINKLIJKE X Corresponds to **PHILIPS** USP 6,558,840 B1 **ELECTRONICS** NV JP 2004-273437 A 09/30/2004 **MATSUSHITA** JAPAN (w/ English **ELECTRIC IND** Abstract) CO LTD JP 10-241656 09/11/1998 **ASAHI CHEM** JAPAN (w/ English IND CO LTD Abstract) 08/21/1998 **ASAHI CHEM** JAPAN (w/ English JP 10-223195 Abstract) IND CO LTD JP 2002-279956 A 09/27/2002 SONY CORP JAPAN (w/ English Corresponds to USP Abstract) 2004/0115523 A1 JP 2002-319386 A 10/31/2002 SONY CORP Corresponds to JAPAN (w/ English USP Abstract) 2003/0118896 A1 JP 2000-195492 A 07/14/2000 TOSHIBA JAPAN (w/ English **BATTERY CO** Abstract) LTD JP 2000-195491 A 07/14/2000 TOSHIBA JAPAN (w/ English **BATTERY CO** Abstract) LTD **EXAMINER** DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.